



# Audit (Monitoring of Audit Investigations) Sub-Committee

## 3 November 2014

**Time** 3.00 pm      **Public Meeting?** YES      **Type of meeting** Regulatory  
**Venue** Committee Room 4 - Civic Centre, St Peter's Square, Wolverhampton WV1 1SH

### Membership

**Chair** Cllr Keith Inston (Lab)  
**Vice-chair** Cllr Christine Mills (Con)

<b>Labour</b>	<b>Conservative</b>	<b>Independent Member</b>
Cllr Dr Michael Hardacre	Cllr Wendy Thompson	Mr Mike Ager Mr Terry Day

Quorum for this meeting is two Councillors.

### Information for the Public

If you have any queries about this meeting, please contact the democratic support team:

**Contact** Dereck Francis  
**Tel/Email** 01902 555835 or [dereck.francis@wolverhampton.gov.uk](mailto:dereck.francis@wolverhampton.gov.uk)  
**Address** Democratic Support, Civic Centre, 2<sup>nd</sup> floor, St Peter's Square,  
Wolverhampton WV1 1RL

Copies of other agendas and reports are available from:

**Website** <http://wolverhampton.moderngov.co.uk>  
**Email** [democratic.support@wolverhampton.gov.uk](mailto:democratic.support@wolverhampton.gov.uk)  
**Tel** 01902 555043

Please take note of the protocol for filming, recording, and use of social media in meetings, copies of which are displayed in the meeting room.

Some items are discussed in private because of their confidential or commercial nature. These reports are not available to the public.

# Agenda

## Part 1 – items open to the press and public

*Item No.*    *Title*

### MEETING BUSINESS ITEMS

- 1            **Apologies for absence**
- 2            **Declarations of interests**
- 3            **Minutes of the previous meeting (21 July 2014)** (Pages 1 - 4)  
[For approval]
- 4            **Matters arising**  
[To consider any matters arising from the minutes]

### DECISION ITEMS

- 5            **Internal Audit Report - Performance Appraisal Scheme** (Pages 5 - 10)  
[To consider an update on work to improve performance around the uptake of employee appraisals]
- 6            **Audit Services - Counter Fraud Report October 2014** (Pages 11 - 26)  
[To receive the report on current counter fraud activities]
- 7            **National Fraud Initiative: Outcomes and Information for Elected Members and Decision Makers - 2012/13** (Pages 27 - 40)  
[To note the Audit Commission Report]
- 8            **CIPFA Code of Practice on Managing the Risk of Fraud and Corruption**  
(Pages 41 - 44)  
[To note the code of practice]
- 9            **Exclusion of press and public**  
[To pass the following resolution:

That in accordance with Section 100A(4) of the Local Government Act 1972 the press and public be excluded from the meeting for the following items of business as they involve the likely disclosure of exempt information on the grounds shown below.]

## Part 2 - exempt items, closed to the public and press

<i>Item No.</i>	<i>Title</i>	<i>Grounds for Exemption</i>
10	<b>Audit Investigations Update</b> (Pages 45 - 48) [To receive the update]	Information relating to any individual.  Information which is likely to reveal the identity of an individual.  Information relating to the financial or business affairs of any particular person (including the authority holding that information) Para (1, 2, 3)